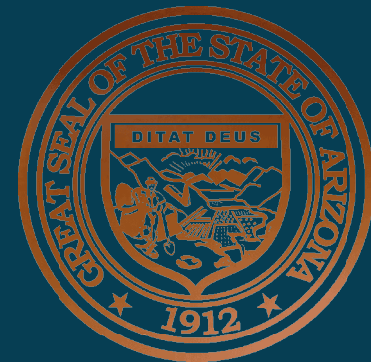


# Arizona Department of Corrections

## Overview and Budget Information

Presentation for the Appropriations Committee

*Presented February 13 -14, 2018*

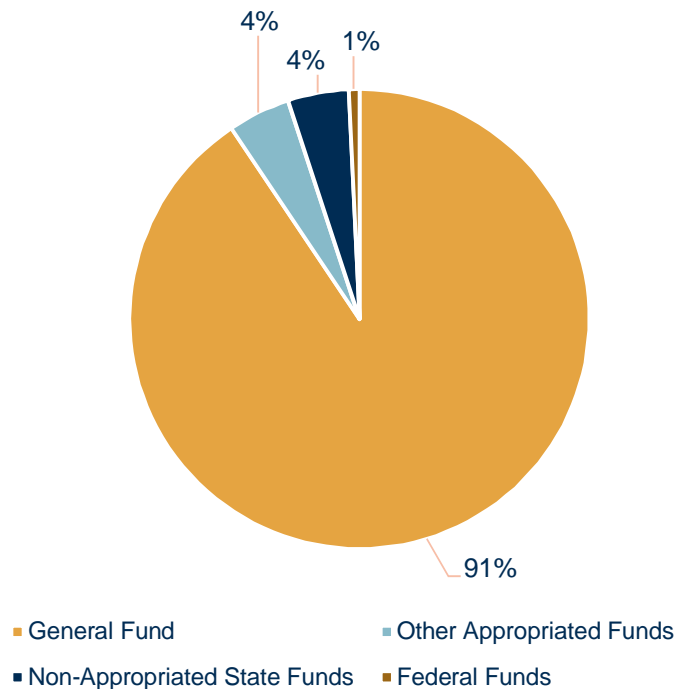


# Department Overview

ADC Vision: Safer communities through effective corrections.

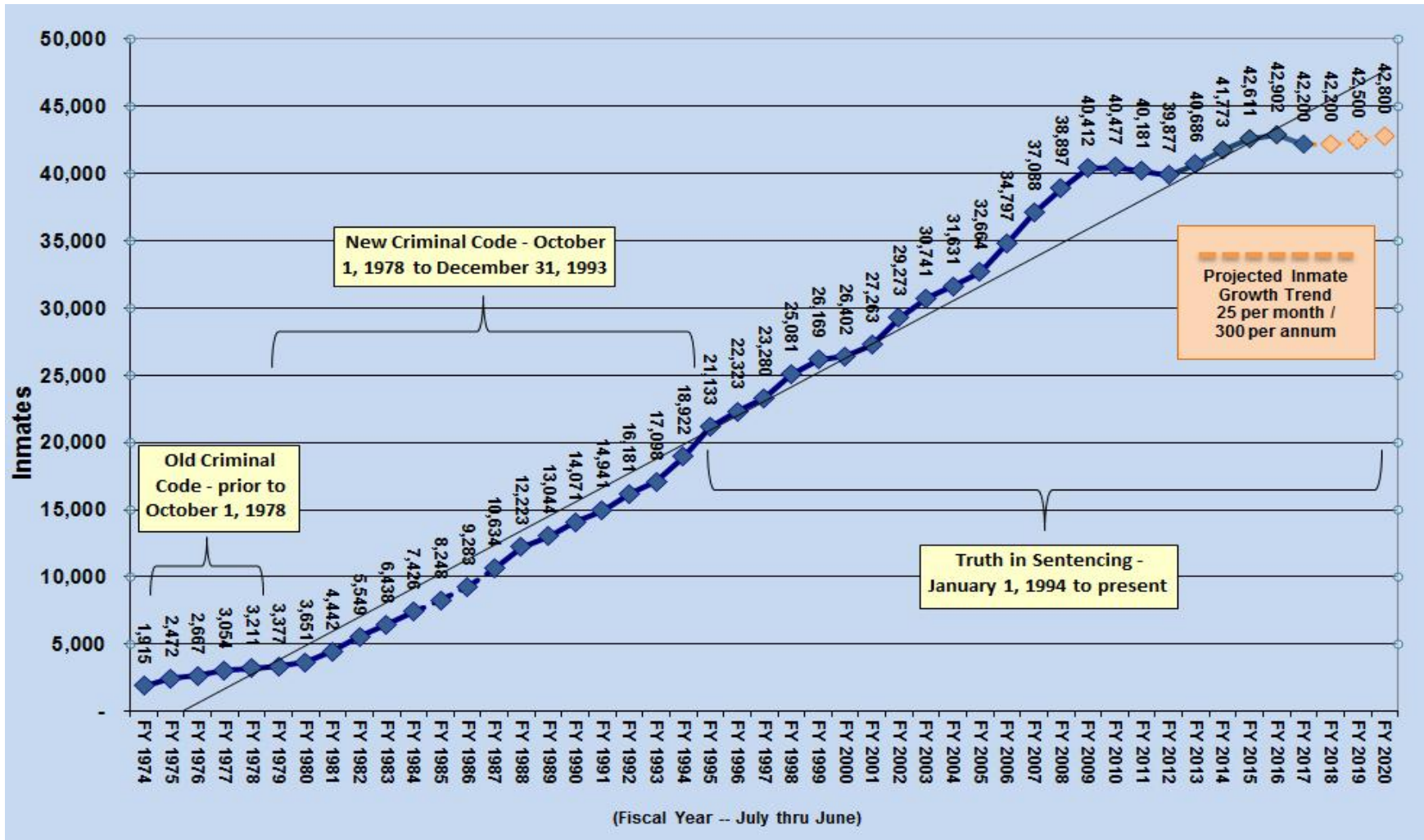
**ADC Mission:** To serve and protect the people of Arizona by securely incarcerating convicted felons, by providing structured programming designed to support inmate accountability and successful community reintegration, and by providing effective supervision for those offenders conditionally release from prison.

**FY 2018 Budget**

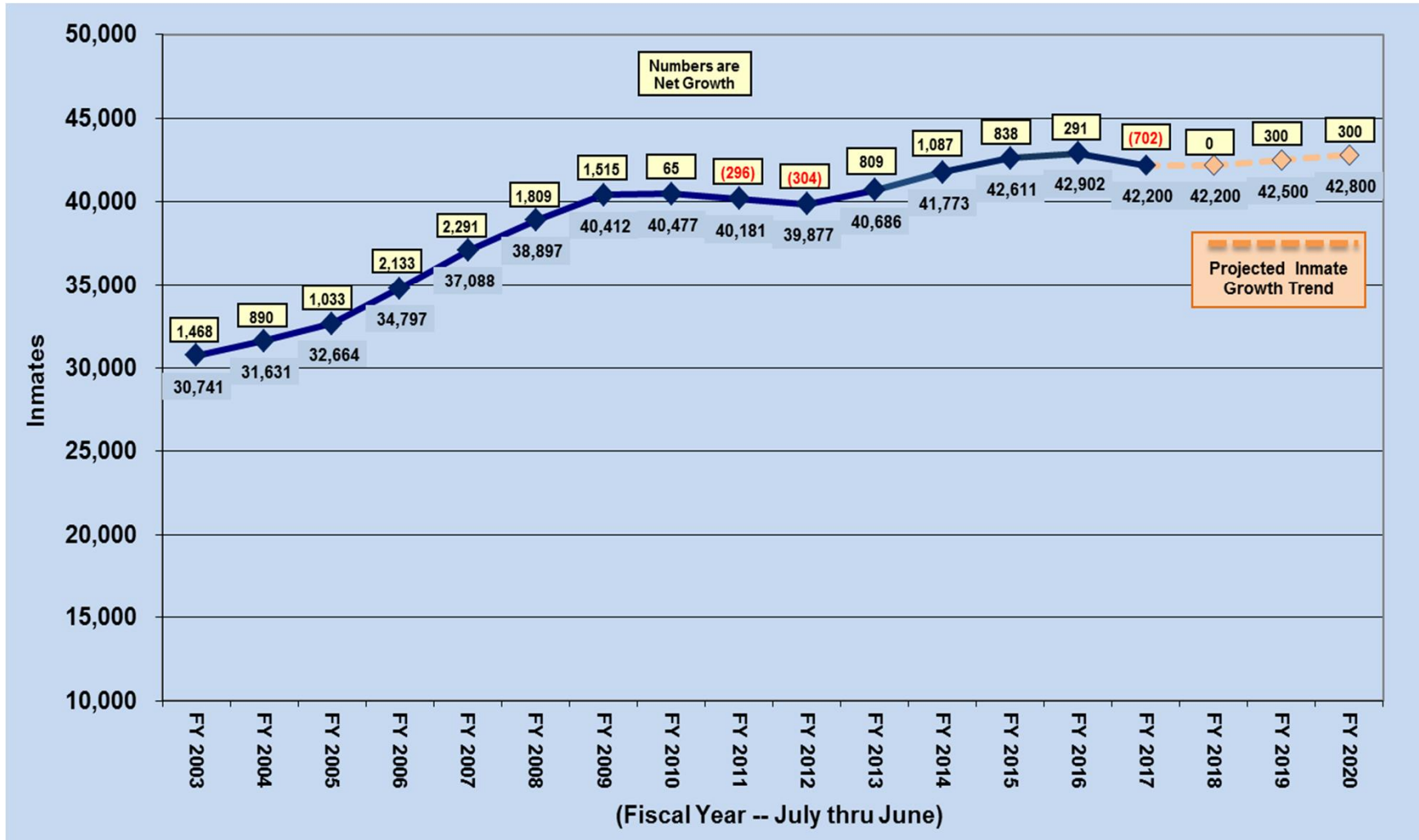


<b>Fund Source</b>	<b>Amount</b>
General Fund	\$1,067,624,800
Other Appropriated Funds	\$51,553,200
Non-Appropriated State Funds	\$50,789,700
Federal Funds	\$8,773,000
<b>Total Funds</b>	<b>\$1,178,740,700</b>

# Arizona Department of Corrections Annual Inmate Growth FY 1974 through FY 2020



# Arizona Department of Corrections Annual Inmate Growth FY 2003 through FY 2020



# FY 2019 Executive Budget Overview - Appropriated Funds

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## FY 2019 Executive Budget

	General Fund	Other Funds
FY 2018 Base Appropriations	\$1,067,624,800	\$54,053,200
Private Prison Capacity Cap	(2,810,400)	-
Inmate Education Expansion		1,372,100
Substance Abuse Treatment Expansion		1,754,200
Employment Center Expansion		444,900
Inmate Healthcare SLI Funding Adjustment	30,000,000	-
<b>FY 2019 Executive Budget:</b>	<b>\$1,094,814,400</b>	<b>\$57,624,400</b>

FY 2019 Executive Budget includes appropriations of \$954,100 for Inmate Education Expansion from the Inmate Store Proceeds Fund and \$1,214,200 for Substance Abuse Treatment Expansion from Special Services Fund. These are non-appropriated funds.

# FY 2019 Executive Budget – General Fund

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- **FY 2018 Changes** - None

- **FY 2019 Baseline Changes**

§ Inmate Healthcare SLI Funding Adjustment: \$30,000,000 - The Executive Budget includes an increase in funding to pay for higher costs of providing health care services to inmates. In September 2016, ADC released a request for proposal (RFP) for a new five-year contract and received responses from two companies. The RFP is still under evaluation.

- **FY 2019 Initiatives**

§ Private Prison Capacity Cap: (\$2,810,400) - The Kingman and Red Rock prison complexes are operated by private prison contractors. As part of the contract for operating the prison, the State is obligated to pay for 97% of those prisons' available bed space. Leaving the remaining 3% vacant for the duration of FY 2019 will generate roughly \$2.8 million in combined savings for the Kingman and Red Rock facilities.

# FY 2019 Executive Budget – Other Appropriated Funds

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- **FY 2018 Changes** - None
- **FY 2019 Baseline Changes** - None
- **FY 2019 Initiatives**
  - Inmate Education Expansion: \$1,372,100 - The Executive Budget includes an increase in funding for 9.0 FTE Correctional Education Teacher positions and 1.0 FTE Administrative Services Officer II position to expand inmate education capacity inside ADC. Funding includes appropriations of \$954,100 from the Inmate Store Proceeds Fund and \$418,000 from the State Charitable, Penal & Reformatory Land Fund.
  - Substance Abuse Treatment Expansion: \$1,754,200 - The Executive Budget includes an increase in funding for an additional 13.0 FTE Substance Abuse Counselor positions and 2.0 FTE Program Manager positions to expand substance abuse treatment availability for inmates. Funding includes appropriations of \$1,214,200 from the Special Services Fund and \$540,000 from the Transition Program Fund.
  - Second Chance Center Expansion: \$444,900 - The Executive Budget includes an increase in funding for 4.0 FTE Correctional Officer III positions, 1.0 FTE Second Chance Center Program Manager, and 4.0 FTE Department of Economic Security Employment Specialists to expand the Lewis and Perryville Second Chance Centers. Funding includes an appropriation of \$444,900 from the Transition Program Fund.

# Personnel Information

## Appropriated ADC Staff

	FY 2016	FY 2017	Change
Authorized FTE	9,534.0	9,541.0	0.0%
Filled FTE as of June 30	8,940.0	8,890.0	(0.6%)
Average Salary	\$40,081	\$40,142	0.2%
Employee Turnover	15.4%	14.8%	(0.6%)
Employee Vacancy as of June 30	6.2%	6.8%	0.6%

## Correctional Officer IIs

	FY 2016	FY 2017	Change
Number of COIIs	6,640.0	6,655.0	0.2%
Filled COIIs as of June 30	5,995.0	5,809.0	(3.1%)
COII Turnover	16.1%	14.7%	(1.4%)
COII Vacancy as of June 30	9.7%	12.7%	3.0%

# Appropriated Filled FTE

Month	Filled COII	Filled Other	Filled Total
FY 2017			
Jul-16	5,990.0	2,937.0	8,927.0
Aug-16	5,940.0	2,926.0	8,866.0
Sep-16	5,894.0	2,955.0	8,849.0
Oct-16	5,867.0	2,978.0	8,845.0
Nov-16	5,880.0	2,996.0	8,876.0
Dec-16	5,831.0	3,004.0	8,835.0
Jan-17	5,801.0	3,012.0	8,813.0
Feb-17	5,767.0	3,027.0	8,794.0
Mar-17	5,737.0	3,056.0	8,793.0
Apr-17	5,745.0	3,058.0	8,803.0
May-17	5,801.0	3,080.0	8,881.0
Jun-17	5,809.0	3,081.0	8,890.0
Averages	5,838.5	3,009.2	8,847.7
FY 2018			
Jul-17	5,804.0	3,073.0	8,877.0
Aug-17	5,774.0	3,078.5	8,852.5
Sep-17	5,781.0	3,074.5	8,855.5
Oct-17	5,743.0	3,074.0	8,817.0
Nov-17	5,808.0	3,063.0	8,871.0
Dec-17	5,802.0	3,072.0	8,874.0
Averages	5,785.3	3,072.5	8,857.8

## FY 2017 Performance & Retention Strategies

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Compensation Plan (One-Time Payments)	Number of Employees	Total Paid in FY 2017
Merit Incentive Pay (3%,2%,1%)	~7,500	\$6,000,000
\$1,500 COII Retention Incentive	~6,000	\$9,500,000
Comp Time Liability	~2,700	\$2,600,000
Holiday Liability Accrual	~2,800	\$2,700,000
Total		\$20,800,000

## Responses to Committee Questions

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- Agency software age and date of last upgrade

§ AIMS – Adult Inmate Management System (AIMS) was installed in 1984 as a mainframe COBOL system. It has not received a significant upgrade since that time. Scheduled replacement is 2018.

§ AIMS2 – Web-based, relational database system.

- ADC anticipates activating a replacement system for AIMS by June 30, 2018.
- The project is within budget.
- ADC will test the system before making final payments to the vendor in early FY 2019.
- Since the current appropriation authority ends June 30, 2018, the lapsing date for the AIMS appropriation needs to be extended through FY 2019.

§ Other Applications

- Microsoft.Net framework 2.0 (15 years)
- Microsoft.Net framework 4.5 (1 year old)
- Microsoft SQL 2014 & 2016, no newer upgrades

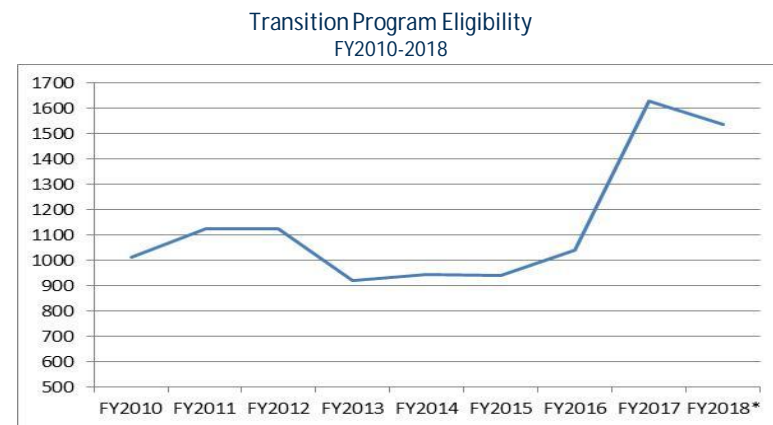
## Responses to Committee Questions

- Would it be possible to further expand the Transition Program either by statute or by department changes?

§ Policy Changes – ADC has maximized participation in the program through policy changes, including:

- Reduced time remaining to Community Supervision Begin Date (2010)
- Eliminated disqualification for minor disciplinary infractions (2013)
- Reduced disqualification time frame for major disciplinary infractions, unsatisfactory work evaluations, and program participation (2015)
- Reduced disqualification time for previous participation (2016)

§ Any additional eligibility would require statutory changes.



\*FY 2018 projected based on year-to-date eligibility of 896 (through January 2018)

## Responses to Committee Questions

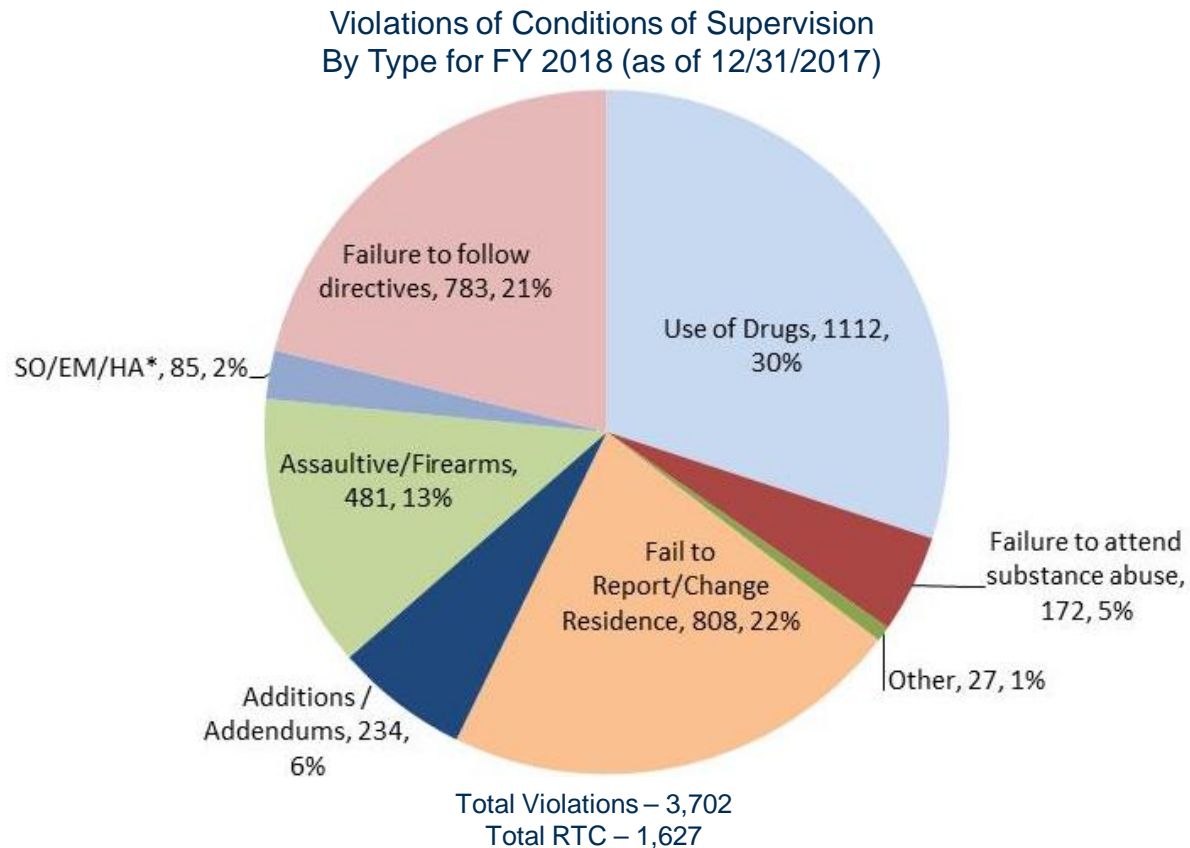
- How does ADC track and evaluate why community supervision inmates are revoked and sent back to prison?
  - § When a Community Corrections (CC) Officer is deciding to take an offender back into custody, they consult with their supervisor to ensure that it is an appropriate Return to Custody (RTC).
  - § CC staff combine standardized sanctions and interventions with professional discretion, based on the offender and the situation.
  - § We want to exhaust interventions and sanctions available, while still keeping the public safe and holding offenders accountable.
  - § With technical violations being one of our Arizona Management System (AMS) scorecard measures, these numbers are closely followed.

Performance Metric Title	Custom Field	JOP	YTD	July	Aug	Sept	Oct	Nov	Dec
DCA0001 MM17.B Re-incarcerated due to technical violations	FY18 Target	6/30/2016	Target 1533.5	257.2	256.6	255.9	255.3	254.6	254.0
	250	273	Actual 1627.0	272.0	325.0	290.0	235.0	253.0	252.0

## Responses to Committee Questions

- How does ADC track the reason given on the warrants that return inmates to custody (RTC)?

§ ADC maintains a Warrants database that provides information below:



SO/EM/HA – Sex Offender/Electronic Monitoring/Home Arrest

## Responses to Committee Questions

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- What data does ADC collect about use of sanctions?

### § Current

- Major sanctions and interventions offered at the Reentry Centers (Maricopa and Pima) or through contract with community providers, including:
  - Temporary, short-term removal from the community
  - Enhanced programing (ex: cognitive behavioral, life skills, etc.)
  - In-patient substance abuse treatment
- Successful completions of sanctions/interventions

### § Future (will be available through enhanced database): Lower level interventions and sanctions, including:

- Referral to a social service agency
- Increased reporting frequency

## Responses to Committee Questions

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- Detail on any efficiency savings initiatives
  - § Correctional Officer Hiring Process – Reduced from 120 days to 38 days (average); freed up one background investigator position for redeployment; increased graduates per cadet class by 17%
  - § Male Inmate Intake Process – Reduced from 7.43 days to 3 days (average); reduction in overtime; reduction in night transportation runs
  - § Conversion of Exterior Lighting – Conversion of all exterior lighting at the Eyman prison complex to energy efficient LED lighting underway. Projected annual savings is \$198,000.
  - § Statewide Fleet Reduction – Reduced fleet by 170 vehicles; \$225,000 to General Fund; reduce operating costs by \$25,209
  - § Wildland Fire Project – Collaborative project with Department of Forestry and Fire Management; reduced length of overtime payment from 3.3 to 1 pay period

## Responses to Committee Questions

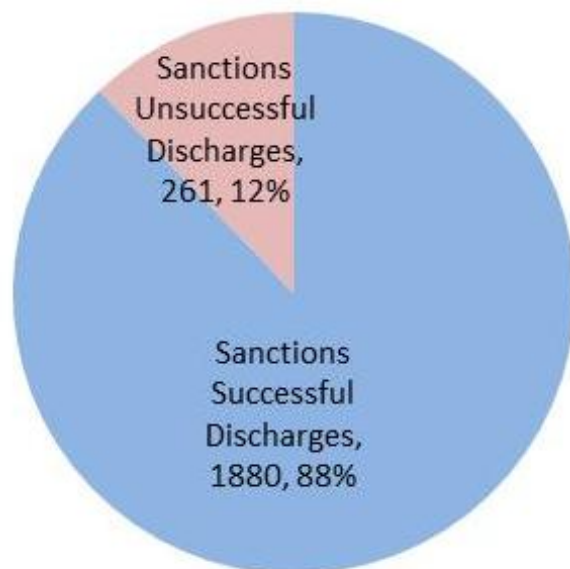
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- Detail on any efficiency savings initiatives

- § Initiatives supporting Recidivism Reduction goal “25 in 10”

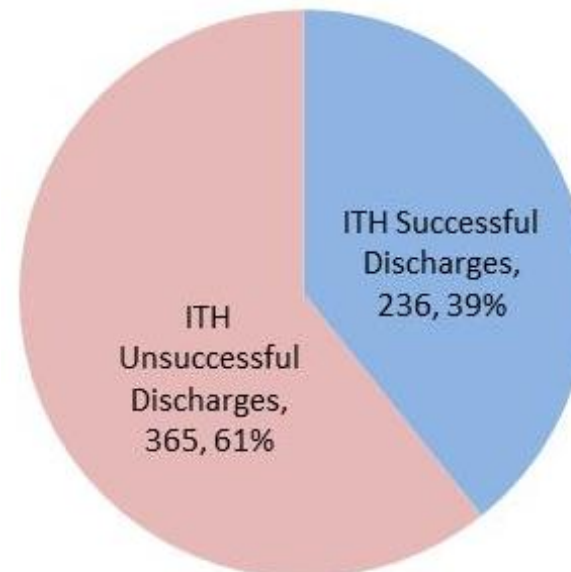
- Pima Reentry Center

Sanction Program  
December 2012 through December 2017



Total Discharges = 2,141

Intensive Treatment with Housing (ITH) Program  
December 2012 through December 2017

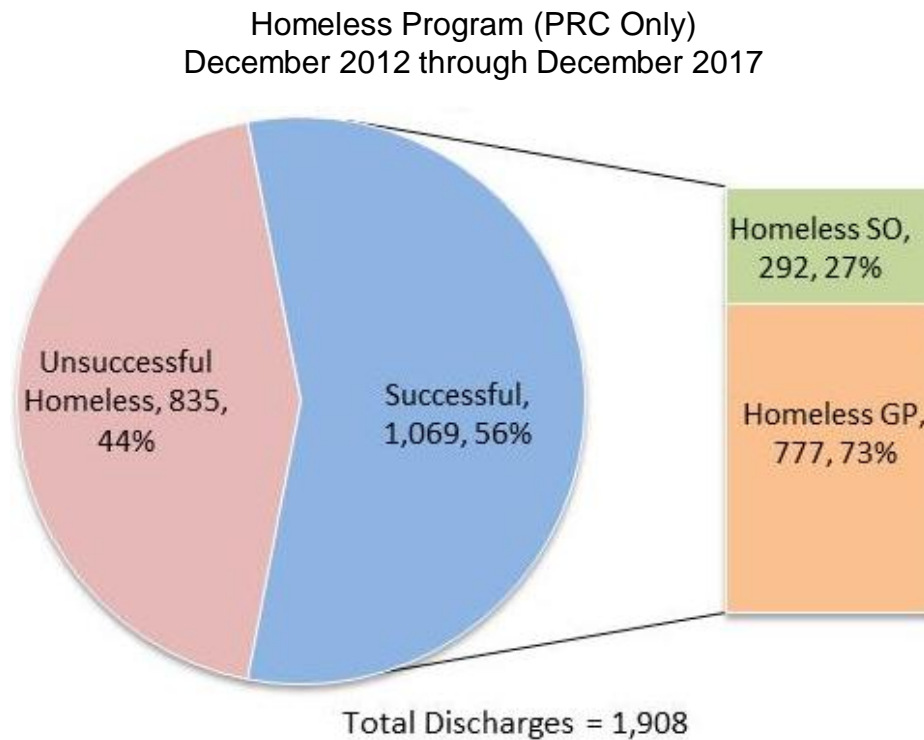


Total Discharges = 583

## Responses to Committee Questions

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- Detail on any efficiency savings initiatives
  - § Initiatives supporting Recidivism Reduction goal “25 in 10”
    - Pima Reentry Center Only



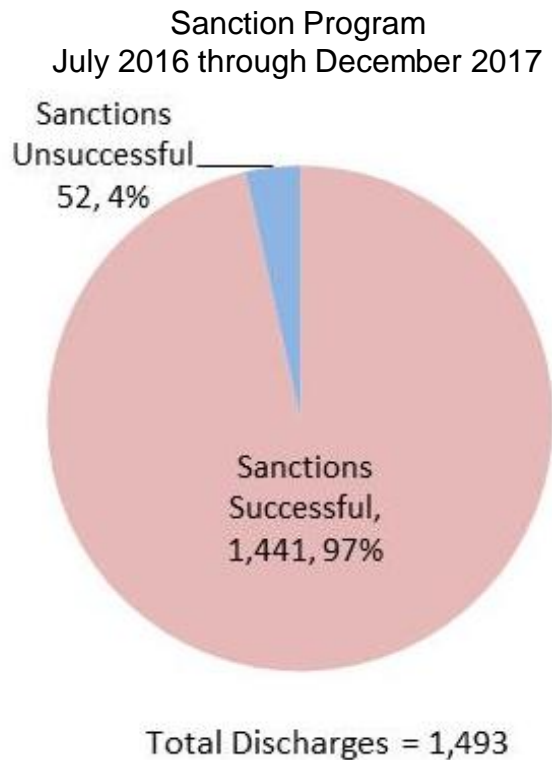
## Responses to Committee Questions

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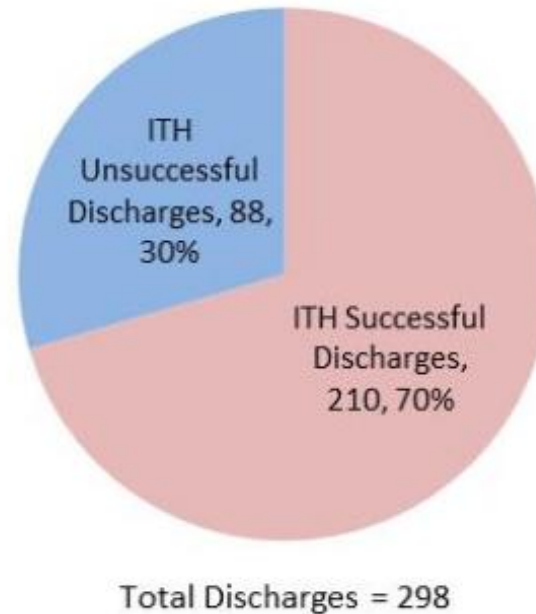
- Detail on any efficiency savings initiatives

- § Initiatives supporting Recidivism Reduction goal “25 in 10”

- Maricopa Reentry Center



Intensive Treatment with Housing (ITH) Program  
July 2016 through December 2017



## Responses to Committee Questions

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- Detail on any efficiency savings initiatives
- Initiatives supporting Recidivism Reduction goal “25 in 10”
  - Second Chance Centers

Participation and Results  
3/27/2017 – 2/01/2018

Prison / SCC Name	Lewis: Eagle Point Unit	Perryville: Piestewa Unit	Tucson: Manzanita Unit	Total
# Currently Participating	89	31	42	162
# Successfully Completed	379	141	175	695
# Started Employment	168	45	155	368
<i>Data as of: Thursday, 02/01/2018</i>				



Rast L4/L5  
R=904 T=0

Bachman L2/L3  
R=600 T=152

Detention

Buckley L4  
R=800 T=0

Barchey L3  
R=800 T=300

Detention

Morey L4  
R=800 T=0

Stiner L3  
R=800 T=416

Eagle Point L2  
R=300 T=0

Detention

Fleet

Complex  
Admin

Sunrise L2  
R=100 T=0



ASPC-LEWIS

Total Capacity 5,972 (Rated = 5,104 Temporary = 868)

33 ° 11'59.13" N 112 ° 39'15.53" W

# Arizona Department of Corrections

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For information on Corrections find us at:  
[corrections.az.gov](http://corrections.az.gov)

FY 2019 Executive Budget Available at:  
[www.azospb.gov/publications2014newweb.aspx](http://www.azospb.gov/publications2014newweb.aspx)